# Online appendices

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# **Appendix 1. Search Strategies**

### a. Medline (OVID) and Cochrane Library

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| ı | Ξ. |
| ı | Δ. |

- 1 procalcitonin.rn
- 2 procalcitonin.tw
- 3 pro-calcitonin.tw
- 4 calcitonin precursor\$.tw
- 5 OR/1-4

# Chronic Obstructive Pulmonary Disease

- 6 exp Chronic Obstructive Pulmonary Disease/
- 7 Lung diseases, obstructive/
- 8 exp Emphysema/
- 9 exp Chronic bronchitis/
- 10 COPD.tw
- 11 COAD.tw
- 12 (chronic adj2 bronchitis).tw
- 13 Emphysema.tw
- 14 (obstructive adj3 (pulmonary or lung\$ or airway\$ or airflow\$ or bronch\$ or respirat\$)).tw
- 15 OR/6-14
- 16 5 AND 15

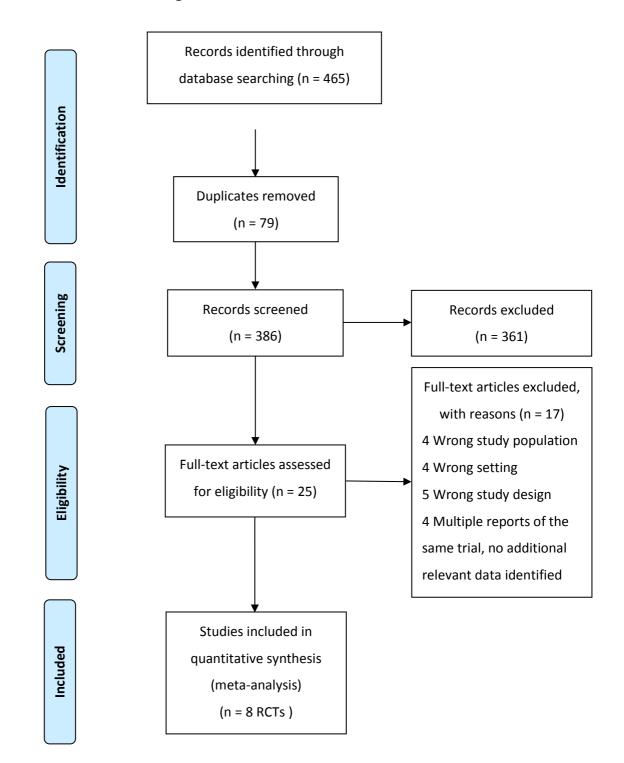
# b. EMBASE (Ovid)

**Procalcitonin** 

| Disease      |  |
|--------------|--|
| Pulmonary    |  |
| bstructive I |  |
| Chronic O    |  |

- 1 exp procalcitonin/
- 2 procalcitonin.tw
- 3 pro-calcitonin.tw
- 4 calcitonin precursor\$.tw
- 5 OR/1-4
- 6 exp Chronic Obstructive Pulmonary Disease/
- 7 exp Emphysema/
- 8 exp Chronic bronchitis/
- 9 COPD.tw
- 10 COAD.tw
- 11 (chronic adj2 bronchitis).tw
- 12 Emphysema.tw
- 13 (obstructive adj3 (pulmonary or lung\$ or airway\$ or airflow\$ or bronch\$ or respirat\$)).tw
- 14 OR/6-13
- 15 5 AND 14

# Appendix 2. PRISMA Flow diagram



# Appendix 3. Characteristics of the included studies

### **Christ-Crain 2004**

Reference: Christ-Crain M, Jaccard-Stolz D, Bingisser R, Gencay MM, Huber PR, Tamm M, et al. Effect of procalcitonin-guided treatment on antibiotic use and outcome in lower respiratory tract infections: cluster-randomised, single-blinded intervention trial. Lancet (London, England). 2004;363(9409):600-7.

| Methods       | Cluster-randomized controlled, single blinded trial. 243 patients with suspected lower respiratory tract infections, including 60 patients with acute exacerbations of COPD were randomized from the medical emergency department of the University Hospital in Basel, Switzerland, between December 2002 and April 2003. The trial was powered to detect a 30% reduction in antibiotic exposure (two-tailed test, 5% significance, not powered especially for patients presenting with acute exacerbations of COPD).   |
|---------------|---|
| Participants  | Patients attending the emergency department with cough, dyspnoea or both, with a suspicion of lower respiratory tract infection as the main diagnosis were assessed for inclusion. Exclusion criteria: Severely immunocompromised patients (i.e. with HIV infection and a CD4 count less than 200 cells per mL, neutropenic patients and stem cell transplant recipients), cystic fibrosis, active tuberculosis, individuals with hospital acquired pneumonia. COPD was defined according to GOLD, as an FEV <sub>1</sub> /FVC ratio of <70% predicted.   |
| Interventions | In all cases, diagnostic and treatment decisions were left to the discretion of the treating doctor. In the procalcitonin group, antibiotics were strongly discouraged for procalcitonin levels <0.1µg/L, discouraged for procalcitonin between 0.1 and 0.25µg/L, encouraged for levels between 0.25-0.5µg/L and strongly encouraged for levels >0.5µg/L. In the control group, procalcitonin was not used to guide antibiotic administration. Initial assessment in the emergency department included complete history, physical examination, measurement of body temperature, blood sampling for haematological analysis and blood chemistry, including C-reactive protein and chest radiography. Sputum and blood culture, blood gases, spirometry, bronchoscopy with BAL and consultation of an infectious disease specialist and respiratory specialist were undertaken as needed in both groups. A quality of life questionnaire and a visual scale (0% - very ill, 100% - completely healthy) were filled by all patients on admission and at follow up. Follow up: one visit 10-14 days after recruitment and one telephone follow up 4-6 months after recruitment. |
| Outcomes      | Primary: Use of antibiotics (rate of antibiotic prescriptions in percentage and patient days, relative risk of antibiotic exposure, in patients with LRTI and AECOPD), costs of antibiotics. Secondary: Clinical and Laboratory outcomes such us quality of life indices, temperature, leucocytes, plasma c-reactive protein and procalcitonin concentrations, frequency and length of admission, need for ICU admission, mortality and rate of re-exacerbation after 6 months.   |

# Christ-Crain 2004 (Continued)

| Risk of Bias Assessment                                  |                   |   |
|--|-------------------|---|
| Bias   | Author's judgment | Support of judgment   |
| Random sequence allocation (selection bias)              | Low risk          | "We randomly assigned eligible patients either standard antimicrobial therapy (standard group) or procalcitonin-guided antimicrobial treatment (procalcitonin group) according to a computer-generated weekwise- randomisation scheme." |
| Allocation concealment (selection bias)                  | High risk         | "We randomly assigned eligible patients either standard antimicrobial therapy (standard group) or procalcitonin-guided antimicrobial treatment (procalcitonin group) according to a computer-generated weekwise- randomisation scheme." |
| Blinding of participants and personel (performance bias) | High risk         | Single blinded trial  |
| Blinding of outcome assessment (detection bias)          | High risk         | Single blinded trial  |
| Incomplete outcome data (attrition bias)                 | Low risk          | 13/243 patients were lost to follow up. The rest of the participants were successfully followed.  |
| Selective reporting (reporting bias)                     | Low risk          | Clinically relevant outcomes were reported  |
| Other bias   | Low risk          |   |

### **Corti 2016**

Reference: Corti C, Fally M, Fabricius-Bjerre A, Mortensen K, Jensen BN, Andreassen HF, Porsbjerg C, Knudsen JD, Jensen JU. Point-of-care procalcitonin test to reduce antibiotic exposure in patients hospitalized with acute exacerbation of COPD. Int J Chron Obstruct Pulmon Dis. 2016 Jun 22;11:1381-9.

| Methods Participants | Parallel quasi-randomized controlled trial. 120 patients with confirmed or suspected AECOPD were enrolled from the Acute Admissions Unit or the Pulmonary Department of Bispebjerg Hospital (Denmark) between October 2012-July 2013. Participants were followed for 30 days. The study population was based on power studies, however details are not available.  All adult patients with confirmed or suspected COPD admitted with a COPD exacerbation during weekdays and signed an informed consent.  |
|----------------------|---|
| Interventions        | Participants were randomized to procalcitonin-guided antibiotic treatment or standard care at the time of admission. In the procalcitonin-guided treatment group, antibiotic use was based on procalcitonin levels at hospital admission: Levels below 0.15ng/ml were considered to indicate the absence of bacterial infection and the use of antibiotics was strongly discouraged (clinician could only overrule the algorithm after conferring with the investigators). For levels between 0.15-0.25ng/ml, antibiotics were also discouraged, unless there was a strong clinical indication of infection, such as fever, X-ray infiltrates, etc. Levels above 0.25ng/ml were considered indicative of bacterial infection and antibiotic treatment was encouraged. The duration of antibiotic administration was adjusted according to the procalcitonin levels at discharge. In the standard therapy group, antibiotic administration was based on current guidelines, according to the decision of the attending physician, who was unaware of the patient's procalcitonin levels. |
| Outcomes             | Median duration of antibiotic exposure; antibiotic prescription rate; proportion of patients who used antibiotics for five days or more; 30 days mortality; overall re-admission rate; readmission rate due to exacerbations; composite harm endpoint consisting of death, readmission to hospital or intensive care admission within 28 days.  |

# Corti 2016 (Continued)

| Risk of Bias Assessment                                  |                   |  |
|--|-------------------|--|
| Bias   | Author's judgment | Support of judgment  |
| Random sequence allocation (selection bias)              | High risk         | "Even and uneven (concealed) digit of patient's Danish personal identification number, not last digit (gender-fixed). Even = procalcitoninguided, Uneven = Control." |
| Allocation concealment (selection bias)                  | High risk         | "Even and uneven (concealed) digit of patient's Danish personal identification number, not last digit (gender-fixed). Even = procalcitoninguided, Uneven = Control." |
| Blinding of participants and personel (performance bias) | High risk         | Non blinded  |
| Blinding of outcome<br>assessment<br>(detection bias)    | Unclear risk      | Non reported   |
| Incomplete outcome data (attrition bias)                 | Unclear risk      | Data on missing participant data not presented   |
| Selective reporting (reporting bias)                     | Low risk          | Clinically relevant outcomes were reported   |
| Other bias   | Low risk          |  |

### Kristoffersen 2009

Reference: Kristoffersen KB, Søgaard OS, Wejse C, Black FT, Greve T, Tarp B, et al. Antibiotic treatment interruption of suspected lower respiratory tract infections based on a single procalcitonin measurement at hospital admission--a randomized trial. Clinical microbiology and infection: the official publication of the European Society of Clinical Microbiology and Infectious Diseases. 2009; 15(5):481-7.

| Methods       | Parallel group, multicentre, randomized controlled trial. 223 adult patients who were admitted to the hospital with a suspicion of LRTI, including 89 patients who were admitted with an acute exacerbation of COPD, were recruited from the Department of Infectious Diseases at Aarhus University Hospital, Skejby, the Department of Medicine at Randers Hospital or the Department of Medicine at Silkeborg Hospital. The study was powered (90%) to detect a 20% reduction in antibiotic use (from 10 to 8 days), assuming a two-tailed test and a 5% level of significance. The study was not powered specifically for patients admitted with a COPD exacerbation.  |
|---------------|---|
| Participants  | Patients admitted with suspected pneumonia, with one or more clinical symptoms (cough, expectoration, dyspnoea or fever >38oC). The diagnosis of COPD was based on the past medical history of the patients and was not confirmed. Exclusion criteria: Age under 18, inability to give consent, admitted not primarily because of the respiratory tract infection, hospital acquired infections.  |
| Interventions | Participants were randomized to either a procalcitonin-guided treatment or standard care. In the procalcitonin group, the procalcitonin test results were simply provided and all diagnostic and treatment decisions were left to the discretion of the treating clinician. Procalcitonin results were not available at the time of the initial treatment decisions and they were most frequently used to motivate continuation or discontinuation of the antibiotic treatment. Cessation was advised if procalcitonin on admission was below 0.25µg/L. Continuation of the antibiotics was encouraged for levels above 0.25µg/L and strongly encouraged for levels above 0.5µg/L. In the standard care group, procalcitonin was measured, but the results were made not available to the treating physicians and patients were treated according to regional guidelines. |
| Outcomes      | Primary: Antibiotic use, length of stay in hospital. Secondary: Adherence to procalcitonin-guided treatment guidelines.   |
| Notes         | In 41% of cases with a serum procalcitonin of less than 0.25µg/L, physicians disregarded the treatment guidelines and continued antibiotic treatment. This was most frequently due to the clinical presentation of the patient (47%) or late arrival of the result (41%, mean time from blood sampling until procalcitonin results were available was 1.6 days).  |

# Kristoffersen 2009 (Continued)

| Risk of Bias Assessment                                  |                   |   |
|--|-------------------|---|
| Bias   | Author's judgment | Support of judgment   |
| Random sequence allocation (selection bias)              | Low risk          | "Eligible patients were randomly assigned to either PCT-guided treatment or standard care, according to a computer randomization scheme and group assignment was made by the primary investigator"                            |
| Allocation<br>concealment<br>(selection bias)            | Low risk          | "Eligible patients were randomly assigned to either PCT-guided treatment or standard care, according to a computer randomization scheme and group assignment was made by the primary investigator"                            |
| Blinding of participants and personel (performance bias) | High risk         | Non blinded   |
| Blinding of outcome assessment (detection bias)          | High risk         | Non blinded   |
| Incomplete outcome data (attrition bias)                 | Low risk          | 13 patients were not included in the analyses: 3 did not have procalcitonin tested, 6 did not meet the inclusion criteria and 4 withdrew consent. The rest of patients were successfully followed until the end of the study. |
| Selective reporting (reporting bias)                     | Low risk          | Clinically relevant outcomes were reported  |
| Other bias   | Low risk          |   |

### Liu 2015

Reference: Liu SS, Zhang YB. The value of serum procalcitonin level in guiding the use of antibiotic in patients with acute exacerbation of chronic obstructive pulmonary disease China: Editorial Office of Chinese Journal of Antibiotics; 2015, 6:459-63.

| Methods       | Quasi-randomised, parallel group, controlled trial. 108 patients who were admitted with an acute exacerbation of their COPD were recruited from the Respiratory Department of the First Affiliated Hospital of Anhui Medical University and the Anhui Provincial Chest Hospital, between February 2013 and April 2014. Power studies were not reported.   |
|---------------|---|
| Participants  | 108 consecutive patients who were admitted with an acute exacerbation of COPD (COPD and COPD exacerbation diagnosed according to the local guidelines). Exclusion criteria: medullary thyroid carcinoma, history of small cell lung cancer, recent use of immunosuppressive agents, duration of the exacerbation symptoms more than 5 days at presentation, use of antibiotics within 4 weeks prior to hospital admission, consolidation in the chest x-ray, other sources of infection and severe organ dysfunction.   |
| Interventions | Consecutive patients were divided into a procalcitonin and a conventional treatment group at the time of admission, according to the mantissa of their admission number. In the first group, serum procalcitonin levels were measured in days 1,4,7 and 10 after hospitalization, to guide antibiotic initiation and discontinuation. Antibiotics were discouraged if procalcitonin was less than 0.25µg/L, strongly discouraged if less than 0.1µg/L, encouraged if procalcitonin was more than 0.25µg/L and strongly encouraged if procalcitonin was more than 0.5µg/L. In the control group, the decision to administer antibiotics was based on clinical presentation, antibiotic treatment guidelines and clinical decision, without the use of procalcitonin. Patients were followed up at 6 months in the clinic or by a phone call. |
| Outcomes      | Effectiveness of the procalcitonin guided antibiotic administration, Antibiotic utilization, duration of antibiotic therapy, hospitalization, medical expenses, exacerbations rate and time to next exacerbation (during 6 months follow up).   |

# Liu 2015 (Continued)

| Risk of Bias Assessment                                  |                            |   |
|--|----------------------------|---|
| Bias   | Author's judgment          | Support of judgment   |
| Random sequence allocation (selection bias)              | High risk                  | "Patients were divided according to the mantissa of their admission number" |
| Allocation concealment (selection bias)                  | High risk                  | "Patients were divided according to the mantissa of their admission number" |
| Blinding of participants and personel (performance bias) | High risk                  | Non blinded   |
| Blinding of outcome assessment (detection bias)          | High risk                  | Non blinded   |
| Incomplete outcome data (attrition bias)                 | High risk (Long term only) | 18 patients were lost to long-term follow up                                |
| Selective reporting (reporting bias)                     | Low risk                   | No published protocol. Difficult to interpret results.                      |
| Other bias   | Unclear risk               | Power studies not reported  |

# Nangia 2012

Reference: Nangia V, Gandhi K. Use of procalcitonin to guide the antibiotic therapy in patients with an acute exacerbation of COPD in a resource-limited setting: A case-control study: Blackwell Publishing Ltd; 2012; 64. Available from: http://ovidsp.ovid.com/ovidweb.cgi?T=JS&PAGE=reference&D=emed10&NEWS=N&AN=70822262.

| Mathada       | Cingle control parellal group rendemined controlled trial 400            |
|---------------|--|
| Methods       | Single-centre, parallel-group, randomized controlled trial. 100          |
|               | patients hospitalized for acute exacerbations of COPD were               |
|               | enrolled.  |
| Participants  | 100 consecutive adult patients who were hospitalized for an acute        |
|               | exacerbation of COPD. Patients should either have a known                |
|               | previous history of COPD or history of chronic cough for more than       |
|               | three months for two consecutive years (clinical definition of COPD)     |
|               | and who met post-bronchodilator therapy spirometric criteria             |
|               | according to GOLD guidelines. Exclusion criteria: Alternative            |
|               | explanation for the presenting signs and symptoms, vulnerable            |
|               | patients (i.e. those with psychiatric comorbidities), patients requiring |
|               | endotracheal intubation and ventilation, within 24 hrs of admission,     |
|               | immunosuppressed or immunocompromised patients and patients              |
|               | with infiltrates on chest radiographs on hospital admission.             |
| Interventions | Participants were randomized to procalcitonin guided antibiotic          |
|               | treatment or standard care. In the first group, after the first dose,    |
|               | antibiotics were continued only if serum procalcitonin was elevated      |
|               | (≥0.5μg/L), while in the control group antibiotics were given following  |
|               | the acceptable standards, as decided by the attending physician.         |
|               | Patients were monitored daily until discharge from hospital and then     |
|               | at 6 weeks follow up (this visit was performed by blinded                |
|               | investigators).  |
| Outcomes      | Primary: Total antibiotic usage during hospitalisation and up to 6       |
|               | weeks. Secondary: Measures of clinical outcomes like success, self-      |
|               | reported functional status, lung function, steroid dosage, length of in- |
|               | hospital stay and death.   |
| Notes         | No published full report available.                                      |

# Nangia 2012 (Continued)

| Risk of Bias Assessment                                  |                   |  |  |  |  |  |  |  |  |
|--|-------------------|--|--|--|--|--|--|--|--|
| Bias   | Author's judgment | Support of judgment  |  |  |  |  |  |  |  |
| Random sequence allocation (selection bias)              | Low risk          | Computer randomized study  |  |  |  |  |  |  |  |
| Allocation concealment (selection bias)                  | Low risk          | Computer randomized study  |  |  |  |  |  |  |  |
| Blinding of participants and personel (performance bias) | High risk         | Non blinded  |  |  |  |  |  |  |  |
| Blinding of outcome assessment (detection bias)          | High risk         | Non blinded (excluding data collected in the 6 weeks visit, which was performed by blinded members of the study team)                |  |  |  |  |  |  |  |
| Incomplete outcome data (attrition bias)                 | Low risk          | 2/50 patients were lost to follow up from the control group. All participants in the procalcitonin group were successfully followed. |  |  |  |  |  |  |  |
| Selective reporting (reporting bias)                     | Low risk          | Clinically relevant outcomes were reported.  |  |  |  |  |  |  |  |
| Other bias   | Unclear risk      | No power studies reported  |  |  |  |  |  |  |  |

### Schuetz 2009

Reference: Schuetz P, Christ-Crain M, Thomann R, Falconnier C, Wolbers M, Widmer I, et al. Effect of procalcitonin-based guidelines vs standard guidelines on antibiotic use in lower respiratory tract infections: the ProHOSP randomized controlled trial. JAMA. 2009;302(10):1059-66.

| Methods       | Multicentre, investigator-initiated, non-inferiority, randomized  |
|---------------|---|
|               | controlled trial. 1359 patients with severe lower respiratory tract   |
|               | infections, including 228 patients with acute exacerbation of COPD,   |
|               | were randomized from the emergency departments of 6 tertiary care   |
|               | hospitals in Switzerland, between October 2006 and March 2008.  |
|               | The study was powered to demonstrate non-inferiority of   |
|               | procalcitonin guided versus standard antibiotic prescription in the   |
|               | overall adverse outcomes of all patients with lower respiratory tract   |
|               | infections (not powered especially for COPD exacerbations).   |
| Participants  | Consecutive patients attending the emergency department with "at  |
|               | least 1 respiratory symptom (cough, sputum production, dyspnoea,  |
|               | tachypnea, pleuritic pain) plus at least 1 finding during auscultation  |
|               | (rales, crepitation) or 1 sign of infection (core body temperature  |
|               | >38oC, shivering or leukocyte count >10 000/μL or <4000/μL,   |
|               | independent of antibiotic pretreatment". Postbronchodilation  |
|               | spirometry was a prerequisite for the diagnosis of COPD (GOLD   |
|               | criteria). Exclusion criteria included patients with active intravenous   |
|               | drug use, severe immunosuppression other than corticosteroid use,   |
|               | life-threatening medical comorbidities leading to possible imminent   |
|               | death, patients with hospital-acquired pneumonia and patients with  |
|               | chronic infection necessitating antibiotic treatment.   |
| Interventions | Participants were randomized to procalcitonin-guided antibiotic   |
|               | treatment or standard care at the time of admission. In the first   |
|               | group, procalcitonin levels were measured and communicated to the   |
|               | treating clinician along with a treatment recommendation for  |
|               | antibiotics which were strongly discouraged if procalcitonin was less   |
|               | than 0.1µg/L, discouraged if it was between 0.1-0.25µg/L,   |
|               | encouraged if it was between 0.25-0.5µg/L and strongly encouraged   |
|               | for levels >0.5µg/L. If antibiotics were withheld, hospitalized patients  |
|               | •   |
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|               | · · · ·   |
| Outcomes      |   |
|               |   |
|               |   |
|               |   |
|               |   |
| Outcomes      | were re-evaluated (clinically and with procalcitonin measurement) after 6 to 24 hours. In patients with severe COPD (GOLD III or IV) and procalcitonin values of less than 0.25µg/L, the protocol could be overruled by the treating physician. In the standard therapy antibiotic use was determined by up-to-date guidelines. In COPD, antibiotic therapy was recommended for 5-10 days if the patients had either severe COPD (GOLD IV), or purulent sputum and at least one of: increased dyspnoea, increased sputum volume.  Primary: Noninferiority in overall adverse outcomes occurring within 30 days follow up (composite index including all-cause mortality, ICU admission for any reason, disease specific complications and recurrence of LRTI). Secondary: Antibiotic exposure, adverse effects of antibiotic treatment and length of hospital stay. |

# Schuetz 2009 (Continued)

| Risk of Bias Assessm                                     | nent              |  |
|--|-------------------|--|
| Bias   | Author's judgment | Support of judgment  |
| Random sequence allocation (selection bias)              | Low risk          | "Randomization of patients to PCT guidance or guideline enforced antibiotic therapy is based on a prespecified computer generated randomization list and concealed by using a centralized passwordsecured website" |
| Allocation<br>concealment<br>(selection bias)            | Low risk          | "Randomization of patients to PCT guidance or guideline enforced antibiotic therapy is based on a prespecified computer generated randomization list and concealed by using a centralized passwordsecured website" |
| Blinding of participants and personel (performance bias) | High risk         | "Outcomes were assessed during the hospital stay by unblinded study physicians and by structured telephone interviews at day 30 by blinded medical students."  |
| Blinding of outcome<br>assessment<br>(detection bias)    | Low risk          | "Outcomes were assessed during the hospital stay by unblinded study physicians and by structured telephone interviews at day 30 by blinded medical students."  |
| Incomplete outcome data (attrition bias)                 | Low risk          | Only 23 out of 1381 randomized patients were lost to follow up or withdrew consent.  |
| Selective reporting (reporting bias)                     | Low risk          | Clinically relevant outcomes were reported.  |
| Other bias   | Low risk          |  |

### **Stolz 2007**

Reference: Stolz D, Christ-Crain M, Bingisser R, Leuppi J, Miedinger D, Muller C, et al. Antibiotic treatment of exacerbations of COPD: a randomized, controlled trial comparing procalcitonin-guidance with standard therapy. Chest. 2007;131(1):9-19.

| I             |   |
|---------------|---|
| Methods       | Single-centre, parallel, randomized controlled trial. 226 patients were   |
|               | enrolled from University Hospital Basel (Basel, Switzerland),             |
|               | between November 2003-March 2005. Participants followed up for            |
|               | six months. The study was powered to demonstrate and absolute             |
|               | reduction in the use of antibiotics among patients admitted with a        |
|               | COPD exacerbations, from 75% to 45%, using a procalcitonin                |
|               | guided strategy to decide on the administration of antibiotics.           |
| Dorticinanto  |   |
| Participants  | Consecutive patients, over the age of 40, admitted with an acute          |
|               | exacerbation of COPD and met the GOLD post-bronchodilator                 |
|               | spirometric diagnostic criteria, within 48 hours of the admission.        |
| Interventions | Participants were randomized to procalcitonin-guided antibiotic           |
|               | treatment or standard care at the time of admission. In the               |
|               | procalcitonin-guided treatment group, antibiotic use was based on         |
|               | procalcitonin levels at hospital admission: Levels below 0.1µg/L          |
|               | were considered to indicate the absence of bacterial infection and        |
|               | the use of antibiotics was discouraged. Levels above 0.5µg/L were         |
|               | considered indicative of bacterial infection and antibiotic treatment     |
|               | was encouraged. Intermediate levels indicated possible bacterial          |
|               | infection, and the use of antibiotics was discouraged or encouraged       |
|               | respectively, based on the stability of the patient's clinical condition. |
|               |   |
|               | In the standard therapy group, antibiotic administration was based        |
|               | on current guidelines, according to the decision of the attending         |
|               | physician, who was unaware of the patient's procalcitonin levels.         |
| Outcomes      | Antibiotic use; treatment failure at 2-3 weeks; 6-months mortality;       |
|               | antibiotic prescription after opposite initial decision; development of   |
|               | pneumonia after decision not to administer antibiotics;                   |

# Stolz 2007 (Continued)

| Risk of Bias Assessment                                  |                   |   |  |  |  |  |  |  |  |
|--|-------------------|---|--|--|--|--|--|--|--|
| Bias   | Author's judgment | Support of judgment   |  |  |  |  |  |  |  |
| Random sequence allocation (selection bias)              | Low risk          | Independent statistician created a randomization list   |  |  |  |  |  |  |  |
| Allocation concealment (selection bias)                  | Low risk          | Sealed envelopes, not numbered  |  |  |  |  |  |  |  |
| Blinding of participants and personel (performance bias) | High risk         | Non blinded   |  |  |  |  |  |  |  |
| Blinding of outcome assessment (detection bias)          | Low risk          | Assessed by a physician and a nurse on the study team, who were blinded to the group assignment.  |  |  |  |  |  |  |  |
| Incomplete outcome data (attrition bias)                 | Low risk          | 18 patients were excluded secondarily for absence of COPD according to GOLD. No patient dropped out thereafter, and no patient was lost to follow up. |  |  |  |  |  |  |  |
| Selective reporting (reporting bias)                     | Low risk          | Clinically relevant outcomes were reported  |  |  |  |  |  |  |  |
| Other bias   | Low risk          |   |  |  |  |  |  |  |  |

### Verduri 2015

Reference: Verduri A, Luppi F, D'Amico R, Balduzzi S, Vicini R, Liverani A, et al. Antibiotic treatment of severe exacerbations of chronic obstructive pulmonary disease with procalcitonin: a randomized noninferiority trial. PloS one. 2015;10(3):e0118241.

| Methods       | Multicentre, non-inferiority, parallel, randomized controlled trial. 184 patients were enrolled (power studies suggested 400 patients; however, the target was not met due to very slow recruitment), from 18 hospitals in Italy. Patients were followed between January 2007 - July 2011. Sample size was calculated to show non-inferiority of procalcitonin guided versus standard antibiotic administration in the exacerbations rate within 6 months.  |
|---------------|---|
| Participants  | Male or female adults, current or former smokers, diagnosed with COPD (GOLD definition, including spirometry). Patients were hospitalized for severe exacerbation requiring antibiotic treatment (Anthonisen type 1 exacerbation) and/or characterized by respiratory failure. Exclusion criteria: Bronchial asthma, unstable concomitant disease, pregnancy and breastfeeding, clinically significant laboratory abnormalities suggestive of unstable concomitant disease, survival for 1 year unlikely and, inability to gibe written consent. Antibiotic administration before hospital admission and radiographic signs of pneumonia did not preclude eligibility.  |
| Interventions | Participants were randomized to procalcitonin-guided antibiotic treatment or standard care. All participants assigned to the standard care group were administered antibiotic therapy for 10 days, while patients randomized to the procalcitonin group either continued antibiotics for 10 days, or stopped on day 3, depending on the procalcitonin levels (if PCT value in any of the first three days was >=0.25µg/L patients were administered antibiotics for 10 days; if maximum PCT level was between 0.1 and 0.25µg/L, antibiotic continuation was based on clinical symptoms; if PCT level was consistently less than 0.1 antibiotics were stopped on day 3). |
| Outcomes      | The primary end point of the study was the percentage of patients with at least one exacerbation within 6 months after the index exacerbation. Secondary end points included hospital readmission, admission to the intensive care unit, change in lung function (ΔFEV1), length of hospital stay, and death from any cause.  |

# Verduri 2015 (Continued)

| Risk of Bias Assessment                                  |                   |  |  |  |  |  |  |  |
|--|-------------------|--|--|--|--|--|--|--|
| Bias   | Author's judgment | Support of judgment  |  |  |  |  |  |  |
| Random sequence allocation (selection bias)              | Low risk          | "Randomly assigned according to a 1:1 permuted block computergenerated scheme, stratified according to hospital."  |  |  |  |  |  |  |
| Allocation concealment (selection bias)                  | Low risk          | "The randomization was Web-based, and only statisticians and the website administrator knew the randomization sequence."   |  |  |  |  |  |  |
| Blinding of participants and personel (performance bias) | High risk         | Non blinded  |  |  |  |  |  |  |
| Blinding of outcome assessment (detection bias)          | High risk         | Non blinded  |  |  |  |  |  |  |
| Incomplete outcome data (attrition bias)                 | Low risk          | Five patients in the procalcitonin group were not included in the analyses because they were randomized by mistake; they did not meet the inclusion criteria. All other patients were successfully followed until the end of study period. |  |  |  |  |  |  |
| Selective reporting (reporting bias)                     | Low risk          | Preselected outcomes are reported in the manuscript.   |  |  |  |  |  |  |
| Other bias   | High risk         | Investigators failed to recruit the target study population. Power studies suggested a population of 400 patients, but only 184 patients were finally randomized, due to slow recruitment and very strict inclusion criteria               |  |  |  |  |  |  |

Appendix 4. Risk of bias assessment

|                    | Random sequence generation (selection bias) | Allocation concealment (selection bias) | Blinding of participants and personnel (performance bias) | Blinding of outcome assessment (detection bias) | Incomplete outcome data (attrition bias) | Selective reporting (reporting bias) | Other bias |
|--------------------|---|---|---|---|--|--------------------------------------|------------|
| Christ Crain 2004  | •   |   |   |   | •  | •                                    | •          |
| Corti 2016         |   | •                                       |   | ?   | ?  | •                                    | •          |
| Kristoffersen 2009 | •   | +                                       |   |   | •  | +                                    | •          |
| Liu 2015           |   |   |   |   | •  | +                                    | ?          |
| Nangia 2012        | •   | •                                       |   |   | •  | •                                    | ?          |
| Schuetz 2009       | •   | •                                       |   | •   | •  | •                                    | •          |
| Stolz 2007         | •   | •                                       | •   | •   | •  | •                                    | •          |
| Verduri 2015       | •   | •                                       |   |   | •  | •                                    | •          |

Online Figure 1. Risk of bias summary – review authors' judgements about each risk of bias domain for each included study. Attrition bias is low for all short-term outcomes of all included trials.

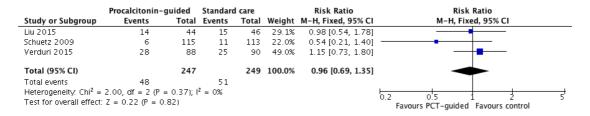
### **Appendix 5: Additional forest plots**

courses.

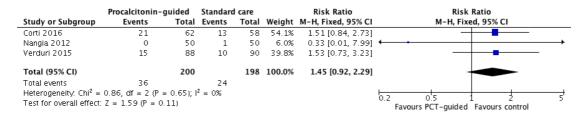
# A. Antibiotic exposure for the index exacerbation: Mean duration of the antibiotic

### Mean Difference Procalcitonin-guided Standard care Mean Difference Study or Subgroup SD Total Mean SD Total Weight IV, Fixed, 95% CI Mean IV, Fixed, 95% CI Christ Crain 2004 7.92 2.8 31 15.1% -4.62 [-5.87, -3.37] 3.3 2.1 29 Corti 2016 6.1 7.41 62 7.4 58 3.3% -2.90 [-5.55. -0.25] Kristoffersen 2009 4.368 4.2228 38 6.461 6.2536 52 5.0% -2.09 [-4.26, 0.07] Nangia 2012 Schuetz 2009 2.1 2.62 2.5 5.6622 7.02 5.1 2.6 5.6622 22.4% -4.92 [-5.94, -3.90] 10.9% -2.60 [-4.07, -1.13] 50 50 115 113 Verduri 2015 6.42 3.519 88 10 0.1 90 43.3% -3.58 [-4.32, -2.84] Total (95% CI) 394 100.0% -3.83 [-4.32, -3.35] 382 Heterogeneity: $Chi^2 = 11.98$ , df = 5 (P = 0.04); $I^2 = 58\%$ Test for overall effect: Z = 15.52 (P < 0.00001) Favours PCT-guided Favours control

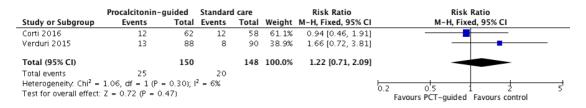
### B. Re-exacerbation rate at longest follow up.



### C. Re-hospitalization rate at longest follow up



### D. Rate of re-hospitalization due to an exacerbation at longest follow up



# Appendix 6. Evidence profile

|                 | Quality assessment                           |                         |                 |              |   |                      | № of p                             | atients         | Effect                      |   |                  |            |
|-----------------|--|-------------------------|-----------------|--------------|---|----------------------|------------------------------------|-----------------|-----------------------------|---|------------------|------------|
| № of<br>studies | Study design                                 | Risk of bias            | Inconsistency   | Indirectness | Imprecision   | Other considerations | Procalcitonin-<br>guided protocols | Standard care   | Relative<br>(95% CI)        | Absolute<br>(95% CI)  | Quality          | Importance |
| Treatment fa    | reatment failure for the index exacerbation. |                         |                 |              |   |                      |                                    |                 |                             |   |                  |            |
| 5               | randomised trials                            | serious 1               | not serious     | not serious  | serious <sup>2</sup> OIS: 1668 participants NIM: 5% | none                 | 73/417 (17.5%)                     | 90/417 (21.5%)  | RR 0.81<br>(0.62 to 1.06)   | 39 fewer per<br>1,000<br>(from 78 fewer<br>to 12 more)          | ⊕⊕○○<br>LOW      | CRITICAL   |
| Lenght of hos   | spital stay for the in                       | ndex exacerbation       |                 |              |   |                      |                                    |                 |                             |   |                  |            |
| 8               | randomised trials                            | serious 1               | not serious     | not serious  | not serious OIS: 640 participants NIM: 1 day        | none                 | 526                                | 536             | MD -0.76<br>(-1.95 to 0.43) | MD 0.76 days lower (1.95 lower to 0.43 higher)                  | ⊕⊕⊕○<br>MODERATE | IMPORTANT  |
| Proportion of   | f patients who were                          | e prescribed antibiotic | es on admission |              |   |                      |                                    |                 |                             |   |                  |            |
| 7               | randomised<br>trials                         | serious 1               | not serious     | not serious  | not serious OIS: 94 participants (25% decrease)     | none                 | 222/484 (45.9%)                    | 406/500 (81.2%) | RR 0.56<br>(0.43 to 0.73)   | 348 fewer prescriptions per 1,000 (from 451 fewer to 214 fewer) | ⊕⊕⊕○<br>MODERATE | IMPORTANT  |

| Quality assessment |                                      |                      |                   |              |   | № of p               | atients                            | Effect         |                               |   |                  |            |
|--------------------|--------------------------------------|----------------------|-------------------|--------------|---|----------------------|------------------------------------|----------------|-------------------------------|---|------------------|------------|
| № of<br>studies    | Study design                         | Risk of bias         | Inconsistency     | Indirectness | Imprecision   | Other considerations | Procalcitonin-<br>guided protocols | Standard care  | Relative<br>(95% CI)          | Absolute<br>(95% CI)                                  | Quality          | Importance |
| Duration of th     | uration of the course of antibiotics |                      |                   |              |   |                      |                                    |                |                               |   |                  |            |
| 6                  | randomised trials                    | serious <sup>1</sup> | not serious       | not serious  | not serious OIS: 244 participants (2 days less)     | none                 | 382                                | 394            | MD -3.83<br>(-4.32 to -3.35)  | MD 3.83 days lower (4.32 lower to 3.35 lower)         | ⊕⊕⊕○<br>MODERATE | IMPORTANT  |
| Exacerbation       | recurrence rate at                   | t longest follow-up  |                   |              |   |                      |                                    |                |                               |   |                  | l          |
| 3                  | randomised trials                    | serious <sup>1</sup> | not serious       | not serious  | serious <sup>2</sup> OIS: 1612 participants NIM: 5% | none                 | 48/247 (19.4%)                     | 51/249 (20.5%) | <b>RR 0.96</b> (0.69 to 1.35) | 8 fewer per<br>1,000<br>(from 63 fewer<br>to 72 more) | ⊕⊕⊖⊖<br>Low      | IMPORTANT  |
| Re-hospitaliz      | ation rate at longe                  | st follow up         |                   |              |   |                      |                                    |                |                               |   |                  |            |
| 3                  | randomised trials                    | serious <sup>1</sup> | not serious       | not serious  | serious <sup>2</sup> OIS: 968 participants NIM: 5%  | none                 | 36/200 (18.0%)                     | 24/198 (11.1%) | RR 1.45<br>(0.92 to 2.29)     | 52 more per<br>1,000<br>(from 9 fewer<br>to 150 more) | ⊕⊕○○<br>Low      | IMPORTANT  |
| Rate of re-ho      | spitalization due to                 | o an exacerbation at | longest follow up | 1            | 1   | 1                    |                                    |                |                               |   |                  | <u> </u>   |

|                 | Quality assessment   |                      |               |              |   |                      | № of patients                      |                | Effect                    |  |                  |            |
|-----------------|----------------------|----------------------|---------------|--------------|---|----------------------|------------------------------------|----------------|---------------------------|--|------------------|------------|
| № of<br>studies | Study design         | Risk of bias         | Inconsistency | Indirectness | Imprecision   | Other considerations | Procalcitonin-<br>guided protocols | Standard care  | Relative<br>(95% CI)      | Absolute<br>(95% CI)                                   | Quality          | Importance |
| 2  Overall mort | randomised trials    | serious <sup>1</sup> | not serious   | not serious  | serious <sup>2</sup> OIS: 1154 participants NIM: 5% | none                 | 25/150 (16.7%)                     | 20/148 (13.5%) | RR 1.22<br>(0.71 to 2.09) | 30 more per<br>1,000<br>(from 39 fewer<br>to 147 more) | ⊕⊕○○<br>Low      | IMPORTANT  |
| 8               | randomised<br>trials | not serious          | not serious   | not serious  | serious <sup>2</sup> OIS: 2654 participants NIM: 2% | none                 | 23/526 (4.3%)                      | 24/536 (4.5%)  | RR 0.99<br>(0.58 to 1.69) | 0 fewer deaths per 1,000 (from 18 fewer to 29 more)    | ⊕⊕⊕○<br>MODERATE | IMPORTANT  |

- 1. None of the included trials was blinded.
- 2. Not meeting optimal information size (OIS) criterion. OIS criterion was calculated accepting a Type 1 error rate a=0.05 and Power 1-

β=80%. NIM: Non-inferiority margin