



## REVIEW

# Primary prevention: exposure reduction, skin exposure and respiratory protection

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**ABSTRACT:** Interventions for the primary prevention of occupational asthma have been reported in the medical literature, understanding the effectiveness of these efforts could help future interventions.

The aim of our study was to evaluate the existing knowledge regarding the impact of controlling work exposure on the prevention of occupational asthma. We conducted systematic literature searches through April 2010 to examine if control of workplace exposures is effective for primary prevention of sensitisation and occupational asthma.

The literature search for primary prevention of occupational asthma yielded 29 studies. Assessment of the available information led to the following conclusions and recommendations concerning primary prevention of occupational asthma. Exposure elimination is the strongest and preferred primary preventive approach to reduce the burden of occupational asthma. If elimination is not possible, exposure reduction is the second best option for primary prevention of occupational asthma.

The evidence for the effectiveness of respirators in preventing occupational asthma is limited, and other options higher in the list of controls for occupational exposures, notably eliminating or minimising exposures at the source or in the environment, should be used preferentially. There is strong evidence to recommend not using powdered allergen-rich natural rubber latex gloves. There is weak evidence that suggests workers should minimise skin exposure to asthma-inducing agents.

**KEYWORDS:** Asthma, exposure reduction, occupational asthma, primary prevention, respiratory protection

Primary prevention of occupational asthma involves reducing exposure so that susceptible workers do not develop disease. In practice, the prevention of occupational asthma is often challenging, but still attainable. Control of exposure can be achieved by different control measures and a hierarchical strategy is commonly applied (table 1). The preferred measure is substitution of an agent, for instance, substitution of enzymes with strong sensitising potential by less strong sensitising enzymes, or a change to a process that does not require the use of enzymes at all. When substitution is not possible, exposure reduction is the next best approach. Exposure reduction can be achieved by reducing the source strength (*i.e.* amount or concentration emitted), modifying the formulation of the active ingredient (*e.g.* liquid or granule instead of powder), changing the process, or by improving general hygiene (good housekeeping). Other

options are isolation of the source (enclosure or segregation), ventilation, avoidance of exposure, and use of personal protective equipment (PPE). Often, optimal exposure reduction strategies consist of a combination of technical and organisational measures. In practice, exposure reduction relies on a combination of different interventions. Latex is an exceptional example, where considerable exposure reduction is achieved by using non-powdered instead of powdered gloves.

It is important to define a desirable exposure reduction, and exposure standards can play an important role in this process. Exposure standards proposed by the European Union Scientific Committee on Occupational Exposure Limits are legally binding for all Union member states or national standard setting bodies. These standards are based on generally accepted and transparent risk assessment principles. Professional organisations in

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### PROVENANCE

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**TABLE 1** Hierarchy of control measures for airborne contaminants in the work environment in order of priority (top to bottom) and in order of preference (left to right)

Control measure	Agent	Process/appliance	Working environment	Work practice
<b>Elimination</b>	Total substitution	Different process	Layout change	Automation, robotisation, remote control
<b>Reduction</b>	Partial substitution, change of form	Adjustment, preventive maintenance, specialised appliance	Good housekeeping	Correct work procedures, training, instruction, motivation, supervision
<b>Isolation</b>		Enclosure segregation	Glove box, safety cabinet, segregation, high-exposure departments	Ensuring enclosure
<b>Ventilation</b>		Local exhaust ventilation, push/pull ventilation	Dilution ventilation, air douches, air curtains	Portable jets, low-volume, high-velocity tools
<b>Exposure avoidance</b>			Booths for operators	Shorter shifts, fewer people, work schedules
<b>Personal protection</b>				Respiratory protection, gloves, clothing

Europe and the USA may also recommend exposure standards. However, few standards exist for allergens [1], and these standards may not always protect workers against development of disease.

#### WHAT EVIDENCE IS AVAILABLE ON THE EFFECT OF PREVENTION?

There are different levels of evidence on the effect of preventative measures in the work environment. While the effect of generic exposure control measures has been evaluated under experimental conditions, this will not be discussed further. A review on efficiency and efficacy is available [2], which describes the development and evaluation of an evidence database on the effectiveness of risk management measures to control inhalation exposure. There is some evidence for the effect of individual control measures on allergen exposure. Control measures have been studied in cross-sectional studies in which determinants of exposure are explored by comparing situations with and without the specific determinant. Fewer studies make use of before and after comparisons in which the effect of a control measure on exposure is followed over time. Optimally, the effect of control measures should be evaluated using randomised trials or crossover designs, as was attempted in a study on wood dust exposure in the state of Minnesota in the USA, in which 48 businesses were randomised to an intervention (written recommendations, technical assistance and worker training) or comparison (written recommendations alone) condition. The Minnesota Wood Dust Study exemplifies the complexity of primary intervention in the work environment, which should not be compared with clinical interventions [3, 4].

First, companies are heterogeneous with regard to industrial processes and, as a result, different approaches can or have to be chosen to realise similar exposure reductions. This makes the intervention process difficult to control. Interventions in the work environment are, therefore, usually referred to as pragmatic interventions.

Secondly, prevention interventions with the health effect as the critical end-point may ethically be difficult to defend, especially when the result can only be measured after several years of intervention. Withholding interventions from workers can create complex legal and ethical situations for physicians

and researchers, as well as employers. Therefore, it makes more sense to intervene on the exposure, and use the exposure level as the outcome of interest. Health impact assessments may help define the intervention goals [5].

These points illustrate why the number of intervention studies based on randomised trials or even well-designed cohort or case-control studies is limited. Most interventions have been evaluated by exploring time trends for occupational allergy or asthma cases using disease registry data. This approach has the limitation that, while the number of cases might be accurate, the denominator (*i.e.* the population at risk from which the cases arise) is not well defined and may change over time.

From key question 5 in the guidelines on the management of work-related asthma [6], "What is the impact of controlling work-related exposures to prevent asthma?", three sub-questions guided the review of existing literature on the primary prevention of occupational asthma.

5a) Is elimination or reduction of workplace exposures to allergens and irritants effective for primary prevention of occupational sensitisation and asthma? 5b) What is the effectiveness of reducing skin exposures to prevent occupational asthma? 5c) What is the effectiveness of PPE (masks, respirators and gloves) to prevent occupational sensitisation and asthma?

#### METHODS

The statements and recommendations formulated in this review are based on a systematic evaluation of the international literature according to the methods extensively described by BAUR *et al.* [6].

Search results as well as a list of considered articles were included in evidence tables and are presented in table O3 of the online supplementary material in the article by BAUR *et al.* [6].

#### RESULTS

The search, initially performed in June 2008, yielded 72 results. An updated search was performed in April 2010 and yielded six additional results, thus the final yield of our search was 78 references. The identified references were selected on the basis of the abstracts. This resulted in 16 remaining references

described in the evidence tables. The literature search strategy for skin references yielded 44 results, but no references that specifically addressed the impact of reducing skin exposure. Abstracts for these 44 references were reviewed and 15 references were identified in which researchers evaluated skin exposure in workers at risk of developing occupational asthma or relevant review articles addressing occupational skin exposure and asthma. Additional references in this section were identified from articles cited by the selected articles.

The literature search for PPE yielded 77 results. We reviewed abstracts for the 77 references and identified 14 in which researchers tested respirators that were intended to control exposure to occupational asthma agents. One reference was excluded after review of the full text article revealed it was not appropriate. The remaining 13 articles were the basis for the text on the effectiveness of respirators for primary prevention.

### **Is elimination or reduction of workplace exposures to allergens and irritants effective for primary prevention of occupational sensitisation and asthma?**

#### *Exposure response studies*

Numerous studies, mostly cross-sectional in nature, have examined relationships between exposure to allergens and the occurrence of sensitisation or work-related asthma. Exposure response studies support the concept that exposure reduction is likely to be followed by a reduction in disease burden. A positive dose-response relationship between exposure and sensitisation has been found in both experimental animal studies [7] and studies of workers exposed to allergens of high molecular weight (HMW) and low molecular weight, including wheat flour, fungal  $\alpha$ -amylase, laboratory animal allergens, organic acid anhydrides, isocyanates and platinum salts [8–20]. Some of these studies use variables known or likely to be associated with exposure level, such as duration of exposure or number of hours exposed per week. Others make use of more elaborate exposure assessment strategies, in which the exposure has been monitored by personal or area sampling equipment, followed by analysis of the allergen content of the dust sample. The latter approach facilitates the description of a quantitative exposure response relationship between measured exposure level and occurrence of sensitisation or asthma. Exposure response relationships indicate that implementation of primary preventive measures in the workplace that result in a reduction of exposure should also lead to a reduction in sensitisation rate.

#### *Exposure reduction studies*

What observations indicate that certain preventive measures lead to a reduction of exposure? The effect of few exposure reduction measures has been studied in practice. Thus, little is known about the effectiveness and efficacy of many possible exposure reduction measures. Studies have explored the effect on exposure of work tasks, cleaning and protective procedures, quality of ventilation systems and work routines in a range of different settings, such as bakeries [21–23], wood industries [24–27] and hairdressers [28]. However, these studies are usually cross-sectional and more exploratory in nature.

#### *Natural rubber latex*

Stronger evidence is shown in table 2, stratified by specific allergen. The most convincing example of the beneficial effects

of an intervention is exposure to latex allergens. For latex, a meta-analysis is available that includes the separate studies described in table 2 [29]. Several studies explored differences in exposure levels between healthcare workers using powdered and non-powdered gloves. The most powerful study investigating the use of non-powdered gloves, which was associated with lower exposure, was a longitudinal case crossover intervention. In this study, introduction of powder-free, protein-poor natural rubber latex (NRL) gloves led to 10-fold lower aeroallergen exposure levels [31]. The effect of this single preventive measure on the prevalence or incidence of sensitisation and occupational asthma has been studied for NRL as well. A review of the literature in 2006 indicated that eight primary prevention intervention studies had been published on NRL exposure since 1990 [29], including the exposure study described previously [31]. All the studies in this review that explored disease as the outcome were observational studies that showed a decrease in sensitisation rates, either in a cross-sectional analysis or in a longitudinal design (both prospective and retrospective) [32, 34–36, 39]. These studies on latex form the largest evidence base of primary prevention studies for any occupational asthma. This study concluded that substitution of powdered latex gloves with low-protein, powder-free NRL gloves or latex-free gloves greatly reduces NRL aeroallergens, NRL sensitisation and NRL asthma in healthcare workers. None of the individual studies fulfilled strict criteria for good-quality intervention studies, *i.e.* they were observational studies without a randomised design. However, taken together, these studies support assertions that substitution of NRL greatly reduces NRL sensitisation and asthma. The studies evaluated as evidence in support of this statement were ranked Scottish Intercollegiate Guidelines Network (SIGN) level 2+, meaning they were well-conducted, case-control or cohort studies with a low risk of confounding, bias or chance, and a moderate probability that the relationship is causal.

#### *Other asthma-inducing agents*

Fewer studies are available for asthma-inducing agents other than NRL. One example of a longitudinal exposure study is the study by MEIJSTER *et al.* [40], which explored the effect of control measures on dust and allergen exposure in a nonrandomised design. The authors found that changes in exposure over time varied substantially between sectors and jobs. For bakeries a modest downward annual trend in exposure of -2% was found for flour dust and -8% for fungal amylase. For flour mills the annual trend for flour dust was -12%, while no significant trend was observed for amylase. For ingredient producers, results were generally nonsignificant but indicated a reduction in flour dust exposure and increase in fungal  $\alpha$ -amylase exposure. A modest increase in use of control measures and proper work practices was reported in most sectors, especially the use of local exhaust ventilation and decreased use of compressed air. Few longitudinal studies like this one have been performed and most studies have not used experimental designs.

In other environments, studies have been undertaken with interventions comprising combinations of different preventive dust control measures, as well as education and PPE. During a 10-yr follow-up, SMITH [41] found a decrease in the annual incidence rates of symptomatic sensitisation to flour and fungal amylase in bakers from 2,085 per million to 405 per million employees per year (table 3). The intervention focused on

TABLE 2 Key question 5a: evidence for prevention of asthma due to natural rubber latex (NRL)

First author [ref.]	Main conclusion	Origin	SIGN grade	Study type	Exposure/occupation	Subjects
<b>LAMONTAGNE [29]</b>	Substitution of powdered latex gloves with low-protein powder-free NRL gloves or latex-free gloves greatly reduces NRL aeroallergens, NRL sensitisation, and NRL asthma in healthcare workers	Literature search	1+	Systematic review	NRL exposure	Eight studies ranging from exposure studies and observational data from cohort studies
<b>ALLMERS [30]</b>	Decreased use of powdered gloves and increased use of powder-free gloves correlated with decline in suspected NRL occupational asthma and skin allergy cases, 1997–2001 Conclusion: primary prevention of occupational NRL allergies is possible with properly implemented practical interventions	LAMONTAGNE 29	3	Case series, reported number of suspected NRL allergy cases from German healthcare system	NRL exposure from gloves	3 million insured healthcare workers in Germany
<b>HELMAN [31]</b>	Latex aeroallergen levels and extractable latex glove allergen contents in an operating room measured on 52 consecutive days, including 19 non-surgery days, with 12 exposure crossovers On 33 surgery days, all personnel wore either high-allergen gloves (n=18 days) or low-allergen gloves (n=15 days) Internal comparison (cross-over) Conclusion: substitution of low-allergen NRL gloves for high-allergen NRL gloves can reduce latex aeroallergen levels by more than 10-fold in an operating room environment	LAMONTAGNE 29	2++ (cross-over design like RCT)	Prospective evaluation of an intervention	Operating room personnel exposed to NRL	Measurements on 52 days
<b>JONES [32]</b>	Studied dental students from first to final year in training Students used only powder-free NRL gloves and were tested annually Students were 65% atopic, but none developed latex sensitivity in 5 yrs of study Conclusion: exposure to powder-free NRL gloves was not associated with sensitisation over 5 yrs in a highly atopic population	LAMONTAGNE 29	2+	Prospective evaluation of intervention	NRL exposure in dental students	63 dental students at baseline, 34 at final year (loss to follow-up)
<b>LEE [33]</b>	Education to reduce NRL glove use in food handlers, use was reduced from 10 stalls to one Conclusion: educate food handlers to prevent NRL allergy in workers and customers	LAMONTAGNE 29	3	Intervention among food handlers in Australia	NRL glove use in food handlers	30 food stalls at market
<b>LEVY [34]</b>	Final year dental students in Paris, France and London, UK completed a questionnaire and SPT with NRL extract Latex sensitivity was OR 11.3 (95% CI 2.4–53.0) for using protein-rich gloves Conclusion: use of powder-free protein poor NRL gloves may reduce latex sensitisation	LAMONTAGNE 29	2-	Cross-sectional study: some students used protein-rich gloves and others did not	Use of protein-rich versus protein-poor NRL gloves in dental clinic	189 fifth year (graduating) dental students working in clinics
<b>LISS [35]</b>	In 1996, the Ontario government recommended change to powder-free, low-protein or non-NRL gloves in healthcare, and hospitals changed related policies at the same time Researchers documented a decline in worker compensation claims for NRL occupational asthma, from 7–11 per yr in 1991–1994 to 1–2 per yr in 1997–1999 Conclusion: use of low-protein or non-NRL gloves is associated with a decrease in number of NRL occupational asthma cases Dental school in Ontario province, Canada, changed from high-protein/powdered to low-protein/non-powdered NRL gloves A positive NRL SPT in students decreased from 10% in 1995 to 3% in 2000 (p=0.03) There was a decline in per cent with urticaria, immediate pruritis and rhino-conjunctivitis, but not asthma or eczema Conclusion: suggestive preventive effect by change to low-protein/powder-free NRL gloves in dental school	LAMONTAGNE 29	3	Case series based on workers' compensation claims in Ontario province, Canada	Use of powdered NRL gloves and change to low-powder NRL and non-NRL gloves in healthcare facilities	66 workers' compensation claims for NRL through 1999
<b>SAABY [36] and TARLO [37]</b>	Intervention was education and medical surveillance, and change to powder-free NRL gloves Decline in symptom onsets and clinic visits after change in non-sterile gloves in 1995 and sterile gloves in 1997, to final year of study in 1999 Conclusion: NRL allergy reduced	LAMONTAGNE 29	2-	Intervention for students and staff in dental school, between cross-sectional surveys in 1995 and 2000 (two different study cohorts)	NRL gloves in dental school	131 in 1995 and 97 in 2000
<b>LISS [35] and TARLO [38]</b>	Intervention and retrospective record review to detect NRL allergy cases in occupational health and allergy clinics	LAMONTAGNE 29	3	Intervention and retrospective record review to detect NRL allergy cases in occupational health and allergy clinics	NRL in gloves in hospital	8000 employees, 52 staff with positive skin test responses and clinical NRL allergy

SIGN: Scottish Intercollegiate Guidelines Network; RCT: randomised controlled trial; SPT: skin-prick test.

**TABLE 3** Key question 5a: evidence for prevention of asthma due to a variety of agents

	Main conclusion	Origin	SIGN grade	Study type	Exposure/occupation	Subjects
<b>Anhydrides</b>						
GRAMMER [42]	Before introduction of respirators, annual incidence for asthma was 10% In 7 yrs of follow-up after respirators, highest annual incidence was 2% Conclusion: respirators can reduce incidence of occupational asthma, in workers exposed to HHPA	Literature search	2+	Prospective cohort study following intervention (introduction of respirators)	HHPA	66 new workers who made HHPA
<b>Diisocyanates</b>						
TARLO [43]	In 1983, Ontario, Canada mandated medical surveillance programme for workers exposed to diisocyanates, followed by retrospective assessments to determine benefits Frequency of diisocyanate asthma workers' compensation claims (both number and percentage of all occupational asthma claims) peaked in 1988, and then declined in 1993 Conclusion: medical surveillance programme contributed to the positive change, but reduced diisocyanate exposures and increased awareness of problem by workers and physicians may have also contributed to decline	Literature search	3 for surveillance and 2+ for case-control study within case series	Registry based ecological study Case series from workers' compensation claims for occupational asthma attributed to diisocyanates in province of Ontario, Canada	Diisocyanate exposure (study had exposure above threshold limit value as readout parameter)	Number of claims varied by year, from 55–58 claims per yr in 1988–1990, to 19–20 claims per yr in 1992–1993
<b>Flour and other bakery exposure</b>						
SMITH [41]	The intervention reduced bread improver levels by better exhaust ventilation, respiratory protection, education, respiratory health surveillance and dust sampling There was an overall reduction in the incidence of new cases of symptomatic sensitisation, from 2085 per million employees per yr in the first 5 yrs of the surveillance programme, to 405 per million employees per yr in the subsequent 5 yrs Symptomatic sensitisation incidence was not related to total inhalable dust levels Conclusion: the strategy of targeting bread improver exposure is an effective approach for preventing symptomatic sensitisation in bread bakeries	Literature search	2-	Prospective intervention in UK food company Based on surveillance data in combination with a triage approach that was not validated	Bakery workers, flour millers exposed to flour and enzymes, especially fungal amylase	>3000 workers per year under surveillance
MEISTER [40]	Changes in exposure over time varied between sectors and jobs For bakeries: modest downward trend of -2% per yr for flour dust and -8% per yr for amylase For flourmills: -12% per yr for flour dust and no significant trend for amylase For ingredient producers: results generally nonsignificant, but indicated a reduction in flour dust and increase in fungal $\alpha$ -amylase Modest increase in use of control measures and proper work practices reported in most sectors, especially local exhaust ventilation and decreased use of compressed air Conclusion: the magnitude of the observed reductions in exposure levels indicates that the sector-wide intervention strategy implemented had a limited overall effect	Literature search	2+	Sector-wide intervention programme, with education on good work practices, and nonrandomised before and after evaluation of exposure to wheat and fungal $\alpha$ -amylase	Bakery workers, flour millers, bakery ingredient workers	1770 personal exposure measurements generally including data on flour dust and fungal $\alpha$ -amylase levels, taken in four surveys (1993, 2001, 2005 and 2007)
<b>Detergent enzymes</b>						
CATHCART [44]	At five production facilities in the UK dust and enzymes levels in 1969–1993, lung function of workers in 1972–1991, and cases of occupational asthma in 1968–1992 were evaluated Exposure groups were defined by job history Enzyme levels declined over the study period Changes in FEV <sub>1</sub> and FVC showed no consistent trends in relation to enzyme exposure The annual number of cases of enzyme allergy and asthma declined	Literature search	2-	Registry-based study, case series, ecological	Detergent enzyme exposure in production facilities	731 male workers
SCHWEIGERT [45]	Variety of controls introduced across detergent enzyme manufacturing industry Decrease in number of occupational asthma cases in Latin American and North American detergent enzyme manufacturing sites in 1969–1998, but no denominators indicated	Literature search	4	Review article with minimal data and documentation	Detergent enzyme manufacturing industry	Unclear
<b>Laboratory animal allergy and asthma</b>						
BOTHAM [46]	Prospectively studied incidence of allergy to laboratory animals in 383 workers exposed to rodents and to rabbits Intervention was introduction of a site order and code of practice for working with animals, and an education programme Concurrent with the intervention, incidence of allergy after 1 yr of exposure to animals fell from 37% in 1980–1981 to 20% in 1982, 10% in 1983 and 12% in 1984 Atopy increased risk of allergy in first year of exposure but not in second or third years of exposure	Literature search	2-	Intervention study with longitudinal, repeated measurements	Laboratory animal workers with exposure to rodents and rabbits	383 workers

TABLE 3 Continued

	Main conclusion	Origin	SIGN grade	Study type	Exposure/occupation	Subjects
FISHER [47]	Intervention programme included education, engineering controls, administrative controls, use of personal protective equipment, and medical surveillance Prospective survey of 5 yrs of data to determine effect programme (1991–1995) At the start of the programme, prevalence of laboratory animal allergy was 12–22%, and then 0% in the last 2 yrs of the 5-yr observation period Conclusion: laboratory animal allergy is preventable through the implementation of a comprehensive effort to reduce exposure to allergens	Literature search	2-	Comprehensive intervention programme with longitudinal, repeated measurements	Laboratory animal workers	159 employees

SIGN: Scottish Intercollegiate Guidelines Network; HHPA: hexahydrophthalic anhydride; FEV<sub>1</sub>: forced expiratory volume in 1 s; FVC: forced vital capacity.

information and training, installation of local exhaust ventilation, and wearing of respirators during handling of powdered bread improvers. No exposure data was included. Dissemination of information about exposure limits of diisocyanates to public (health) authorities in Ontario, Canada, together with a primary preventive programme and health surveillance, probably resulted in lower exposure levels and a decrease in accepted claims over time. TARLO *et al.* [43] retrospectively assessed workers compensation data from 1980 to 1993 and found an initial increase in compensation claims, which were attributed to increased case findings due to the medical surveillance programme. The subsequent 50% decrease in accepted claims from 1991 to 1992–1993 was attributed to a combination of primary and secondary prevention measures. When measured levels of diisocyanate were compared among companies who had compensated claims for occupational asthma with companies without accepted claims, the former were more likely to have had measured levels of diisocyanates >0.005 ppm [43].

In the detergent industry, the introduction of work practices and a medical surveillance programme decreased sensitisation rates to enzymes among workers within almost 20 yrs [45]. Significant reductions in the prevalence of occupational asthma have been reported after introducing granulated proteases [44, 48]. In the study of CATHCART *et al.* [44], during the observation period, atmospheric enzyme concentrations and the reported incidence of enzyme asthma fell considerably. However, the number of cases dropped, but the denominator, consisting of the number of individuals at risk, was not established. So, it is unclear if the risk for an individual declined. It cannot be excluded that the number of workers exposed declined over the years because of mechanisation and automation of production processes. CULLINAN *et al.* [49] reported an outbreak of asthma in a detergent factory which exclusively used encapsulated enzymes, while sensitisation rate was related to exposure level. A recent study showed a similar outbreak but in an enzyme factory using liquid enzyme formulations [50].

In laboratory animal workers allergy, a preventative programme including education, engineering and administrative controls, the use of respirators and medical surveillance showed a decrease in the incidence rate of asthma from 10% to 0% during a 5-yr follow-up [47]. However, this study was not designed as a cohort study, there was considerable loss to follow-up and forms of selection bias such as the healthy worker effect cannot be excluded. In addition, the effectiveness of the intervention to reduce allergen exposures was not evaluated. In a retrospectively assembled cohort of new employees working with laboratory animals, an

education programme may have contributed to the decrease in annual incidence rate of laboratory animal allergy from 42% to 15% over 4 yrs [46, 47]. Comparison of the symptom prevalence in each entry year for each new cohort entering the workforce indicates a lower prevalence in cohorts that entered after the start of the intervention. However, trends over time cannot be interpreted because the occurrence of laboratory animal allergy was not explored by considering the proportion exposed and not expressed as a rate with person-time of follow-up in the denominator. Loss to follow-up was considerable, and the risk of developing laboratory animal allergy from year to year is likely to have been underestimated as a result. It is unclear if the apparent reduction in laboratory animal allergy occurrence was accompanied by a reduction in allergen levels since no allergen exposure data was obtained.

#### **What is the effectiveness of reducing skin exposures to prevent occupational asthma?**

To our knowledge there are no studies that address whether reducing skin exposure to occupational allergens can prevent occupational asthma. Elimination of the exposure, the preferred approach to preventing occupational asthma, reduces all routes of exposure, including skin exposure.

Concern that skin exposure to chemical allergens and even possibly to HMW protein allergens may increase asthma risk has arisen based on several lines of "evidence", including clinical experience and case reports, animal studies, and limited epidemiological findings. Animal studies have clearly demonstrated that skin exposure to chemical allergens, such as isocyanates, can induce type 2 helper T-cell-like sensitisation and subsequent airway inflammation following inhalation challenge [51]. Of note, skin exposure can be more effective than inhalation exposure at inducing sensitisation, and lower skin sensitising doses more effective than higher doses in producing asthmatic responses [52–54].

#### *Assessment of skin exposure*

To assess whether human skin exposure to allergens increases asthma risk requires methods to sample and quantify skin exposure. Such methods are not as well developed and not as widely available as airborne exposure methods. Skin exposure assessment is further complicated by factors such as the frequently sporadic nature of skin exposure, uncertainty about skin uptake, unknown effectiveness of protective clothing, mixed exposures, and the challenge of separating the risks of skin *versus* inhalational exposures. Despite these challenges, investigators have recently quantified isocyanate skin exposures in exposed workers using

**TABLE 4** Selected literature on occupational skin exposure to isocyanates

First author [ref.]	Main conclusion	Origin	Study type	Exposure/occupation	Subjects
<b>PRONK [19]</b>	Inhalation HDI exposure associated with tasks involving aerosolisation Skin exposure assessed by extraction of HDI from nitrile gloves; associated with paint-handling tasks and glove use HDA detected in 36% of repair shop workers, 10% of industrial workers HDI oligomers main exposure	Literature search	Cross-sectional study Before and after shift sampling	HDI oligomers, auto-body repair workers	68 paired inhalation and skin samples from auto repair shops; 239 urine samples from 45 workers 27 paired inhalation and skin samples from five industrial companies; 52 urine samples from 10 painters
<b>ROBERT [55]</b>	MDA detectable in 73% of post-shift urine samples; significantly higher than pre-shift levels Highest MDA levels associated with spraying or hot processes Skin exposure associated with significant MDA levels in urine	Literature search	Cross-sectional study	MDI polyurethane workers	169 workers from 19 French factories and 120 controls
<b>BELLO [56]</b>	Quantitative skin wipe sampling method developed 92% of samples under PPE had detectable isocyanate levels, mostly pHDI Highest total isocyanate concentrations associated with spraying and mixing	Literature search	Cross-sectional study	HDI auto-body repair workers	185 samples from 81 auto-body shop painters and technicians during different tasks 43 samples under PPE
<b>TODD [57]</b>	8–21% of workers exposed to mixtures of chemicals more than OELs; 39–69% of surface samples positive for isocyanates using qualitative CLI Swypos <sup>TM</sup> * PPE and IH controls not adequate	Literature search	Cross-sectional study	Workers at footwear and equipment factories	286 personal air samples, 64 surface, tool or hand samples from four factories in Thailand
<b>FENT [58]</b>	Log-transformed concentrations of HDI in skin of workers correlated with log-transformed product of air concentration and painting time ( $r=0.79$ , $p<0.001$ )	Literature search	Cross-sectional study	HDI auto-body spray painters	13 auto-body spray painters: air and skin samples
<b>LIU [59]</b>	Skin exposure algorithm using diaries, task-based skin sampling and PPE Median daily skin exposure index estimated for each worker Associated with job category Weakly correlated with daily airborne exposure	Literature search	Cross-sectional study	Workers in auto-body shops	232 workers in 33 shops: 893 exposure person-days skin exposure, work diary
<b>FENT [60]</b>	Isocyanurate predominant isocyanate Dermal HDI concentrations higher in those not wearing gloves/overalls Isocyanate detected on skin during 23% of paint tasks Linear mixed modelling identified breathing-zone concentration and paint time significant predictors skin concentration	Literature search	Cross-sectional study	HDI auto-body spray painters	47 spray painters dermal and inhalational exposure assessment 15 painters no gloves
<b>FLACK [61]</b>	HDA detected in 76% plasma samples Correlation between plasma HDA and same day dermal exposures, low but significant, correlation between HDA and 20–60 day dermal exposure higher ( $r=0.36$ )	Literature search	Cohort study	HDI auto-body shop painters	46 spray painters: blood, inhalation and dermal exposures measured 288 tasks
<b>LILJELIND [62]</b>	Average personal air concentrations below Swedish exposure limit Tape stripping used to measure MDI skin exposure Decreasing levels of MDI in consecutive tape strips per site indicate dermal penetration	Literature search	Cross-sectional study	MDI iron-foundry workers	19 workers in different areas of foundry; tape strip dermal sampling repeated on five exposed skin areas and air sampling

HDI: hexamethylene diisocyanate; HDA: hexamethylene diamine; MDA: methylenedianiline diisocyanate; MDI: methylene diphenyl diisocyanate; PPE: personal protective equipment; pHDI: polymeric HDI isocyanate; OEL: occupational exposure limit; IH: industrial hygiene. \*: skin wipes that provide a visible indication of skin exposure (Colorimetric Laboratories, Inc., Plaines, IL, USA).

novel sampling and analytical approaches, including skin surface wipe sampling, skin tape stripping, and sampling of inner gloves and pads under PPE (table 4) [56, 58, 60–63]. Analysis of consecutive skin tape strips has documented dermal penetration of isocyanate, and correlations between isocyanate skin exposure and urinary metabolites support skin uptake [60–62]. These studies have also demonstrated frequent isocyanate skin exposure among workers in several work settings despite the use of standard PPE, such as gloves [56, 60, 63]. They have also demonstrated that while in some work settings skin and respiratory exposures are highly correlated, in others settings they are not, potentially enabling the differentiation of skin and respiratory health effects. Incorporation of skin exposure metrics into epidemiological studies of exposed workers should enable investigators to better define the risks of skin exposure and also the effectiveness of industrial hygiene controls, including protective clothing, to reduce such exposures.

#### Isocyanate skin exposure and asthma

To date, the evidence that human skin exposure to allergens can increase the risk of asthma comes primarily from case

reports of isocyanate asthma or sensitisation in settings where isocyanate skin contact has been reported or suspected, and where airborne isocyanate levels are very low (table 5). Most of these cases have involved workplace exposure to methylene diphenyl diisocyanate (MDI), which is much less volatile than the other commonly used isocyanates, and is frequently handled as a liquid, creating greater opportunity for exposure through skin contamination than through inhalation. To date, the primary epidemiological study that addressed the risk of asthma related to occupational skin exposure was a cross-sectional and 1-yr follow-up study of 214 newly employed workers in a wood manufacturing plant that used MDI resins [64]. Skin exposure was assessed by a questionnaire regarding skin staining, MDI on clothes and type of work. 27% of workers in areas with high potential for liquid MDI exposure reported new-onset asthma-like symptoms *versus* 0% in low-potential areas. Skin staining and MDI on clothes, and working around and cleaning up MDI was associated with new asthma-like symptoms. Air monitoring data (six personal breathing zone samples) showed no detectable MDI and a single glove wipe

**TABLE 5** Literature addressing the association between skin exposure and asthma

First author [ref.]	Main conclusion	Origin	SIGN grade	Study type	Exposure/occupation	Subjects
<b>PETSONK [64]</b>	27% of workers in areas with high potential for liquid MDI exposure reported new-onset asthma-like symptoms versus 0% in low-potential areas Skin staining and MDI on clothes, cleaning up MDI was associated with these symptoms Asthma symptoms were associated with variable airflow limitation and MDI-specific IgE Air monitoring data (six personal breathing zone samples) showed no detectable MDI A single glove wipe sample was taken and had 0.078 mg MDI The skin may be a site for sensitisation and subsequent risk for development of respiratory symptoms Population: 50% reported skin exposure 6.5% of the pressure grouters had MDI-related respiratory symptoms Two had positive MDI-specific inhalation challenge Air exposures were very low (<1 ppb) 6 out of 8 pressure grouters with heavy skin exposure MDI metabolites in urine Reported skin irritation from MDI uncommon MDI skin exposure common MDI sensitisation through skin contact possible Important to prevent skin exposure Asthma prevalence 10.8% (69/641) Multivariate analysis: asthma associated with educational status, ethnicity, smoking, glove use (never use OR 3.28, 95% CI 1.72-6.26), perceived allergy, duration of work Protective effect of glove use may be due to protection of skin from sensitising chemicals Based on questionnaire-derived diagnoses of 243 workers: 4% workers with occupational asthma, 36% occupational rhinitis, and 11% irritant lower respiratory symptoms Two workers (0.4%) had MDI-specific IgG, both worked in finishing area where they had direct MDI skin contact Plant designed to minimise MDI exposures 24-h-day <sup>-1</sup> air monitoring area samples All air levels <0.005 ppm Selected workers further medical evaluation: three cases occupational asthma from MDI (1.2%) and one case MDI-induced cutaneous anaphylaxis (positive MDI skin test and MDI-IgE) These four workers worked in areas with potential MDI skin contact Conclusions: low prevalence of sensitisation and occupational asthma Authors recommend avoid MDI skin contact Nurse with MDI asthma Case confirmed by specific inhalation challenge with MDI cast material (39% decreased FEV <sub>1</sub> ) Surface worker who handled half-empty MDI drums at the mine without safety precautions developed probable isocyanate asthma Risk of isocyanate exposure with polyurethane rock consolidation Mentions personal experience: isocyanate skin exposure increases risk asthma	Literature search	2+	Cohort (1-yr follow-up)	MDI: wood manufacturing plant	214 plant employees, 83% participated in follow-up survey Questionnaires prior to use of MDI and every 6 months thereafter Serial peak flows, spirometry, methacholine challenge, MDI-IgE, skin prick testing performed at certain times in selected workers
<b>LENAERTS-LANGANKE [65]</b>		Literature search	3	Cross-sectional study	MDI: coal miners	Three populations: 216 pressure grouters who inject MDI polyurethane foam (55 control miners) 245 exposed miners Eight pressure grouters with heavy polyurethane skin exposure
<b>SHAHZAD [67]</b>		Literature search	2-	Cross-sectional study	Leather tannery workers in Pakistan	641 workers in 95 tanneries, all workers enrolled working with tanning process Questionnaire No exposure information
<b>BERNSTEIN [66]</b>		Literature search	3	Cross-sectional study/case series isocyanate asthma	MDI: polyurethane mould plant	243 workers exposed to MDI: questionnaire and serum antibody tests 147 workers on polyurethane mould lines 3 cases of isocyanate asthma
<b>DONNELLY [68]</b>		Literature search	3	Case study	MDI: hospital synthetic plaster casts	One nurse working with MDI-containing plaster casts for 4 yrs
<b>NEMERY [69]</b>		Literature search	3	Case study	MDI: coal miners	Surface worker from coal mine
<b>DERNEHL [70]</b>		Cited in article	3	Personal experience	MDI	Workers with respirator protection and repeated skin contact

SIGN: Scottish Intercollegiate Guidelines Network; MDI: methylene diphenyl diisocyanate; Ig: immunoglobulin; FEV<sub>1</sub>: forced expiratory volume in 1 s.



sample that was taken had 0.078 mg of MDI. The authors concluded that skin might be a site for potential immunological sensitisation and subsequent risk for development of respiratory symptoms. In other studies, an investigation of approximately 500 coal miners who injected MDI for rock consolidation identified about 15 workers with a diagnosis of occupational asthma or sensitisation (positive MDI-immunoglobulin (Ig)E) [65]. Air sampling for MDI was reported to show very low levels (<1 ppb) and MDI skin exposure was reported to occur commonly among these workers. The authors concluded that isocyanate skin exposure probably contributed to MDI sensitisation and asthma [65]. Surveillance of 243 workers exposed to MDI in a polyurethane mould plant with MDI air levels consistently <0.005 ppm identified three cases of MDI asthma and one case of MDI-induced cutaneous anaphylaxis [66]. In one case the onset of asthma symptoms occurred after an MDI spill. All four workers were reported to work in areas with potential for skin contact with uncured MDI.

Studies among other groups of workers are also very limited. A cross-sectional study of 641 tannery workers found an asthma prevalence of 10.8% [67]. Multiple regression analysis showed the strongest risk factor for asthma was not using gloves (OR 3.28, 95% CI 1.72–6.26), with educational status, ethnicity, smoking, perceived allergy and duration of work also being significant risk factors (table 5).

Several studies have provided data on the effectiveness of currently recommended PPE in preventing skin exposure to isocyanates. Although typically lower amounts are noted than on unprotected skin, isocyanate has been detected underneath latex and nitrile gloves, cartridge respirators and protective clothing [56, 60, 63].

In summary, skin exposure to certain occupational asthma-inducing agents probably increases the risk of occupational asthma, despite limited epidemiological studies to date. The contribution of skin exposure to asthma risk probably varies greatly with different allergenic exposures, work processes and settings, as well as other factors than can alter skin barrier function. Dose–response relationships with allergens frequently are nonlinear, and there are insufficient data to identify safe skin exposure levels for sensitisers. There are data indicating that currently recommended PPE may not be effective in limiting skin exposure to isocyanate chemicals in some settings. Improved skin exposure methodologies should facilitate the incorporation of skin exposure assessment into epidemiology studies to better define exposure risk factors and also help evaluate the effectiveness of preventive interventions. In the meantime, it would be prudent to increase awareness of the potential risks of allergen skin exposure and to limit such exposures.

### **What is the effectiveness of PPE to prevent occupational sensitisation and asthma?**

#### *PPE and the hierarchy of controls*

In the hierarchy of controls for occupational health hazards, eliminating or minimising exposures at the source or in the environment is considered more effective than the worker using PPE [71]. The success of respiratory personal protection requires an ongoing commitment by employers and employees to a programme that includes selection, cleaning, maintenance and storage of equipment, as well as training, fit testing and medical

monitoring of users. Respirators are best used as an interim measure while efforts to control exposures at the source or in the environment are being implemented, or when controls at these other levels are not possible. Perhaps, since respirators are not considered an optimal way to control exposures, they have often been used in conjunction with other control activities at the source and/or environmental level. Such comprehensive programmes that include the use of respirators have been implemented for workers exposed to laboratory animals [47, 72–74], dusts and fumes in aluminium production [75], diisocyanates [76] and disinfectants [76, 77]. While many of these programmes have reported success at prevention, it is not possible to determine the contribution made by respirators alone.

#### *Previous statements from professional organisations*

Two recent statements from professional organisations address use of respirators for primary prevention of work-related asthma. An expert panel convened by the American College of Chest Physicians (ACCP) produced a publication on the diagnosis and management of work-related asthma [78]. This document advises primary prevention by controlling exposures to known workplace sensitisers and irritants, briefly citing a variety of methods, including respirators. The examples of using respirators to control exposures involved exposure to hexahydrophthalic anhydride (HHPA) [79] and isocyanates [80]. The British Occupational Health Research Foundation (BOHRF) also developed guidelines for occupational asthma [81]. Similar to the ACCP document, the BOHRF guidelines emphasise reducing airborne exposures to occupational asthma agents. The advice specific to respiratory protective equipment (RPE) was: “The use of RPE reduces the incidence of, but does not completely prevent, occupational asthma” [81]. The evidence cited included the same article used in the ACCP document for HHPA [79] and two references for control of exposure to isocyanates [64, 82] not cited by the ACCP.

#### *Indirect evidence for effectiveness of PPE in preventing occupational asthma*

An updated review of the medical literature revealed indirect evidence that use of respiratory protective devices might prevent asthma onset, by demonstrating that respirators can reduce exposures to agents that can cause occupational asthma. These studies investigated: air purifying respirators [83] and half-face respirators with particulate/organic vapour/formaldehyde filters [84] used by firefighters; air purifying respirators [85], half-mask respirators with frequent cartridge changes [86], and half-face air purifying or full-face air-supplied respirators [87] for workers exposed to styrene; hood style supplied air respirators used to reduce exposure to chromium and other materials during sanding in aircraft manufacturing [88]; P2 facemasks and fresh-air helmets to reduce levels of rodent allergens among laboratory animal handlers [89]; and certified two-tie protective masks that reduced total particle concentrations by 97% in swine confinement buildings [90].

This indirect evidence takes on somewhat more significance for agents that have a positive dose–response relationship with occupational asthma, since a reduction in exposure should decrease the number of cases. Such a relationship has been reported for wheat allergen [91], and investigators compared exposure levels measured inside a P2 particle filter facemask to

**TABLE 6** Evidence for effectiveness of respirators to prevent onset of occupational asthma

	Main conclusion	Origin	SIGN grade	Study type	Exposure/occupation	Subjects
<b>GRAMMER [79]</b>	Before introduction of respirators, annual incidence for asthma was 10% 7 yrs after respirators were introduced, highest annual incidence was 2% Authors concluded respirators can reduce incidence of occupational immunological respiratory disease, including occupational asthma, in workers exposed to HHPA	Literature search	2+	Prospective cohort study following intervention (introduce respirators)	Acid anhydride	66 new workers who made HHPA

SIGN: Scottish Intercollegiate Guidelines Network; HHPA: hexahydrophthalic anhydride.

measurements taken outside the facemask [92]. Exposure levels were reduced by 93–96% using the facemasks, and the investigators concluded that these respirators might help to prevent baker's asthma.

Several studies have examined the effectiveness of respiratory protective devices intended to limit isocyanate exposure in paint operations. A study of 22 spray painters working in automobile body shops measured isocyanates both inside and outside negative pressure, air-purifying half-face piece respirators with organic vapour cartridges and paint pre-filters [80]. The authors concluded that these respirators provided reasonably effective protection if the workers were trained and fit tested. In a study conducted in a test chamber, air purifying respirators with organic vapour cartridges were, on average, 99.4% efficient based on comparisons of isocyanate exposures inside and outside the respirators [93]. In another study of spray painters, researchers concluded that air-fed visors provided good protection if they were well maintained and the airflow was sufficiently high [94].

#### *Direct evidence for effectiveness of PPE in preventing occupational asthma*

Despite the encouraging findings that respirators can substantially reduce exposures to asthma agents, these studies did not

directly test whether respirator use is associated with a decline in the onset of occupational asthma. The two studies for isocyanates and respirators in the BOHRF guidelines at least suggest such a benefit. In one study, automobile body shop employees who applied paints containing isocyanates were approximately one-third as likely to have occupational asthma symptoms if they used a positive pressure respirator [82]. However, a relatively small number of participants used this respirator and the finding was not statistically significant [82]. A second study provided evidence that inconsistent use of respiratory protection might have negative consequences. Specifically, isocyanate-exposed workers at a wood products plant were at greater risk for new-onset asthma-like symptoms if they removed their respirators even briefly ( $p < 0.05$ ) [64].

A more direct investigation of the value of respiratory protection for primary prevention was conducted among workers who were manufacturing an epoxy resin that required HHPA (table 6) [79]. This is the same study cited in both the ACCP and BOHRF documents. Study participants were offered a choice of three different respirators: a disposable dust and mist respirator, a half-face organic vapour respirator, or a full-face organic vapour respirator. The highest annual incidence for asthma over the 7 yrs of follow-up was 2%, compared to approximately 10% that was observed in employees before the

**TABLE 7** Recommendations according to the Grading of Recommendations Assessment, Development and Evaluation (GRADE) working group

GRADE recommendations	Strength of recommendation	Level of evidence
Exposure elimination is the strongest preventive approach to reduce the disease burden of occupational asthma and is the preferred primary prevention approach	Strong	High
If elimination is not possible, reduction is the second-best option for primary prevention for occupational asthma based on exposure–response relationships	Strong	Moderate
The evidence for the effectiveness of respirators in preventing occupational asthma is limited and other options higher in the hierarchy of controls for occupational exposures, notably eliminating or minimising exposures at the source or in the environment, should be used preferentially	Strong	Moderate
Do not use powdered allergen-rich natural rubber latex gloves	Strong	High
Minimise skin exposure to asthma-inducing agents	Strong	Low

The strength and clinical relevance of the recommendation was classified according to the system of the Grading of Recommendations Assessment, Development and Evaluation (GRADE) working group [96].

introduction of respirators. There was no statistically significant difference between respirators, but none of the workers who wore the full-face respirators developed occupational asthma, even those who worked in high-exposure jobs.

### Conclusion

There is little direct evidence in the literature that respirators are effective for primary prevention of occupational asthma. Additional studies are needed, especially for settings in which other controls are not possible.

### DISCUSSION

Based on the review of the literature as already summarised, we arrived at the following statements, recommendations and comments on future research.

#### Statements

The strength of the evidence for each statement was graded according to the three-star system of the Royal College of General Practitioners (RCGP), which includes the quality and the quantity of the evidence (\*: limited; \*\*: moderate; \*\*\*: strong), as previously described [95].

Complete elimination of the exposure is the most straightforward approach to reducing the burden of disease associated with occupational allergy and asthma. (\*\*).

It is extremely likely that exposure reduction will lead to a reduction of the disease burden for occupational asthma, as indicated by exposure–response relationships. However, there is limited evidence provided by a few ecological and surveillance studies. (\*).

Substitution of NRL greatly reduces NRL sensitisation and the occurrence of NRL-related asthma. (\*\*).

Skin exposure to allergens occurs in the workplace, but there is limited evidence that skin exposure contributes to the onset of occupational sensitisation and asthma. RCGP: limited evidence mainly provided by case reports and cross-sectional studies. (\*).

Use of respiratory protective equipment can contribute to primary prevention of occupational asthma. (\*).

#### Recommendations

The recommendations are detailed in table 7.

#### Future aspects

In general, studies that make use of strong analytical designs, such as randomised controlled trials and controlled intervention studies, are potentially possible for allergen exposure. Observational studies that focus on disease occurrence in relation to exposure have limitations. Exposure studies focusing on the evaluation of allergen exposures and exposure interventions are strongly encouraged. More evidence is needed for all types of preventive actions, including improved ventilation, education of workers, changes in work organisation, and use of different types of PPE.

There is a need to further explore the role of skin exposure in relation to development of sensitisation and disease occurrence. Additional research is needed to demonstrate the effectiveness of primary preventive measures on allergen exposure and occurrence of allergy and asthma.

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### STATEMENT OF INTEREST

None declared.

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